

G.N. 3132

**SECURITIES AND FUTURES ORDINANCE (Chapter 571)  
(The 'SFO')**

**(Notice under section 402)**

NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED UNDER SECTION 56 OF THE SECURITIES AND FUTURES (FINANCIAL RESOURCES) RULES (CHAPTER 571N) (the 'FRR')

Notice is hereby given that pursuant to section 402 of the SFO, the Securities and Futures Commission (the 'Commission') specifies that, with effect from 1 December 2023, the electronic form published on the Commission's website (<https://www.sfc.hk/en/Forms/Intermediaries/Financial-returns>) shall be used for the purpose of submitting a return to the Commission required under section 56 of the FRR in respect of any period ending on or after 1 December 2023 and supersede the electronic form specified in the notice gazetted on 13 December 2021 (G.N. 7820) for the same purpose.

25 May 2023

Keith CHOY  
*Interim Head, Intermediaries  
Securities and Futures Commission*