

## Appendix B

### Illustrative processes: Connection to iAM Smart

Join the iAM Smart Sandbox Programme of the DPO (Source: iAM Smart's website - https://iamsmart.cyberport.hk/)

#### Phase I - Design

- Access the relevant documentation and training videos for more understanding
- Experience simulated Application
  Program Interfaces (APIs) on various
  iAM Smart functions
- Prepare implementation plan and design user journey
- Set up web server for testing

#### **Phase II - Development**

- Access the Integration Testing
  Environment (ITE) for system testing and development using iAM Smart
- Integrate iAM Smart APIs with your online services
- Complete security checklist and privacy impact assessment declarations
- Prepare wireframe and video recordings for user interface and workflow verification
- Submit production application for approval

#### **Production**

Perform security checklist review every 2 years after implementation

## Illustrative processes: Adoption of iAM Smart for account opening

# #1 Receive account opening application and obtain authorisation

from a holder of iAM Smart account (applicant) for using iAM Smart to verify his / her identity



including name, gender, date of birth and Hong Kong identity card number as identity verification information

[Firms using iAM Smart form filling function may obtain additional personal profile information with the authorisation of the applicant, e.g., address, email, education level, etc.]

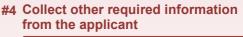
#### #5 Obtain a signed client agreement

by way of electronic signature or iAM Smart digital signing function



## #2 Direct the applicant to iAM Smart APP

to authorise iAM Smart to provide personal data to firms for identity verification and authentication



for conducting client due diligence and know-your-client procedures

#### #6 Review the applicant's profile

to assess and approve the account opening application in accordance with the firm's internal policies and procedures



Client onboarded