

6 November 2020

## **Circular to Licensed Corporations and Associated Entities**

### **Anti-Money Laundering / Counter-Financing of Terrorism**

#### **Anti-Money Laundering and Counter-Financing of Terrorism Webinar**

The Securities and Futures Commission (SFC) will host two sessions of anti-money laundering and counter-financing of terrorism (AML/CFT) webinar on 4 and 7 December 2020 respectively. The webinar will be conducted via Zoom.

#### **Objectives and rundown**

The objectives of the webinar are –

- To provide an update on major AML/CFT regulatory developments;
- To present money laundering typologies and feedback on the suspicious transaction reporting of the securities sector; and
- To share industry experience in empowering management of financial crime risk with technologies.

Please refer to [Appendix](#) for rundown and speakers of the webinar.

#### **Who should attend**

You are invited to nominate relevant management / supervisory personnel and compliance officers to attend. The webinar is free of charge and 2.5 CPT hours will be offered to those who attend the whole session.

#### **Enrolment**

Each firm should only submit one enrolment form via the following link on or before 18 November 2020:

<https://www.sfc.hk/en/News-and-announcements/Events/Anti-Money-Laundering-and-Counter-Financing-of-Terrorism-Webinar-2020>

Enrolments are subject to a maximum of two representatives per firm. We will allocate places to the first nominees listed in enrolment forms submitted to the SFC by the deadline on a first come first served basis. Any remaining places will be allocated to the second nominees on the same basis.

Successful applicants will be notified by email at least two days before the webinar.



If you have any queries, please contact us at [aml\\_seminar@sfc.hk](mailto:aml_seminar@sfc.hk).

Intermediaries Supervision Department  
Intermediaries Division  
Securities and Futures Commission

Enclosure

SFO/IS/039/2020