

28 May 2021

Circular to licensed corporations

Postponement of effective date of revised financial return form

The Securities and Futures Commission (SFC) informs licensed corporations (LCs) that the effective date of the revised form of the returns required to be submitted by them under section 56 of the Securities and Futures (Financial Resources) Rules will be postponed to 1 January 2022¹.

An upgraded version of WINGS² is being developed with enhanced features. The electronic submission of financial returns will migrate to WINGS after the enhancements which will provide additional benefits for LCs and increase operational efficiency.

Should you have any questions regarding the contents of this circular, please contact your case officer-in-charge.

Intermediaries Supervision Department Intermediaries Division Securities and Futures Commission

End

SFO/IS/012/2021

+852 2231 1222 www.sfc.hk Page 1 of 1

The revised form was originally scheduled to be used for accounting periods starting on or after 1 July 2021. Please see the SFC's <u>circular</u> dated 26 June 2020.

WINGS is a common platform for making electronic submissions to the SFC.