

31 August 2021

Circular to Licensed Corporations in relation to list of prescribed persons who have reached the clearing threshold under the clearing and record keeping rules for the OTC derivatives regime

An updated list of prescribed persons who have reached the clearing threshold under the Securities and Futures (OTC Derivative Transactions - Clearing and Record Keeping Obligations and Designation of Central Counterparties) Rules has been posted on the website of the Securities and Futures Commission (**SFC**) today.

The list is available at:

<http://www.sfc.hk/web/EN/rules-and-standards/otc-derivatives-regulatory-regime/>

The list is compiled based on information currently available to the Hong Kong Monetary Authority (**HKMA**) and/or the SFC. Please refer to the disclaimer set out in the aforesaid list.

The list may be updated from time to time by the HKMA and the SFC as appropriate and relevant.

**Supervision of Markets Division
Securities and Futures Commission**