

13 July 2022

Circular to Intermediaries
Extension of End-To-End (E2E) Test for the
Hong Kong Investor Identification Regime (HKIDR)

Reference is made to the Circulars dated [31 March 2022](#), [21 April 2022](#) and [17 June 2022](#) regarding E2E Test for the HKIDR.

Extension of E2E Test

In response to requests by some Relevant Regulated Intermediaries¹ (RRIs) to extend the E2E Test period, the E2E Test for the HKIDR will be extended to include the period from **19 July 2022 to 5 August 2022** (both dates inclusive). For RRIs who have not completed the mandatory E2E Test yet, they are hereby reminded to complete the test as soon as possible in order to proceed to the market rehearsals.

Please note that the SFC will be performing scheduled system maintenance on 18 July 2022. RRIs may not be able to access SEHK's Electronic Communication Platform (ECP) via the SFC's WINGS platform during the system maintenance period.

After completion of the E2E Test, RRIs should submit (if they have not already submitted) the E2E Test confirmation reply forms (contained in the E2E Test package) by **5 August 2022** to:

1. the SFC – for E2E Test on the submission of the BCAN-CID Mapping File and Reporting Forms to SEHK's data repository (applicable to all RRIs); and/or
2. Hong Kong Exchanges and Clearing Limited (HKEX) – for E2E Test on BCAN tagging for order submission to the SEHK trading system (applicable to RRIs who are Exchange Participants only).

Following the successful completion of the E2E Test, Market Rehearsals (MRs) for systems relating to the HKIDR will be arranged in due course for RRIs to verify their readiness before the launch of the HKIDR. Details of the MRs will be announced later.

Use of ECP Secure File Transfer Protocol (SFTP) Interface

RRIs can submit the BCAN-CID Mapping File and Reporting Forms to SEHK's data repository via ECP web interface and/or ECP (SFTP) interface. Non-EP RRIs who intend to leverage on the ECP (SFTP) interface during the market rehearsals and after the launch of the HKIDR should install HKEX's Securities and Derivatives Network (SDNet/2) production line for this purpose. Please refer to HKEX's [Circular](#) dated 13 July 2022 for further details.

Securities and Futures Commission

¹ Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C to the SFC's consultation conclusions paper issued in August 2021.