

22 July 2022

### **Circular to Intermediaries**

- 1) Update of Technical Information Paper for the Over-the-counter Securities Transactions Reporting Regime (OTCR); and**
- 2) OTCR Reporting File Template and Submission Process Flow**

Reference is made to the [Circular on 26 November 2021](#) regarding the OTCR Technical Information Paper.

Please note that an updated version of the OTCR Technical Information Paper, with some updates on the specifications, has been published.

The Securities and Futures Commission (**SFC**) has also prepared an OTCR Reporting File Template and Submission Process Flow, to facilitate preparation of submission files under the OTCR and illustrate the process flow of file submission.

The OTCR Technical Information Paper, and the OTCR Reporting File Template and Submission Process Flow can be downloaded from the [SFC dedicated webpage](#).

**Securities and Futures Commission**