

21 January 2022

## Circular to licensed corporations

### Reminder of effective date of revised financial return form and e-submission

The Securities and Futures Commission (SFC) reminds licensed corporations to use the revised financial return form<sup>1</sup> for submitting a return<sup>2</sup> in respect of any period ending on or after 24 January 2022. With effect from 1 February 2022, the electronic submission of financial returns will migrate to the SFC's online platform, WINGS<sup>3</sup>.

A user guide and demonstration videos were published today to provide guidance on how to submit financial returns through WINGS. These new resources are available on the SFC's website under "[User Guide – Submission services](#)" on WINGS ([wings.sfc.hk](http://wings.sfc.hk)).

The SFC reminds licensed corporations that they must not make any unauthorised changes to the electronic financial return form. Otherwise, their submissions will be rejected by WINGS.

Separately, licensed corporations are reminded to ensure that financial returns are signed in accordance with section 56(6) of the Securities and Futures (Financial Resources) Rules (FRR). In addition, licensed corporations should remove from WINGS, in a timely manner, the signing rights of any of their responsible officers or officers approved under section 58(5)(e) of the FRR who are no longer authorised to sign the financial returns on their behalf.

If you need assistance or encounter any problems in submitting financial returns through WINGS, please contact the SFC's technical hotline on (852) 2207 9333.

For other questions regarding the contents of this circular, please contact your case officer at the Intermediaries Supervision Department.

Intermediaries Supervision Department  
Intermediaries Division  
Securities and Futures Commission

End

SFO/IS/004/2022

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<sup>1</sup> The electronic form specified in the notice gazetted on 17 December 2021 (G.N. 7820).

<sup>2</sup> Under section 56 of the Securities and Futures (Financial Resources) Rules.

<sup>3</sup> Please refer to the SFC's [circular](#) dated 17 December 2021.