

25 August 2023

Circular to Intermediaries
Reminder on the Over-the-counter Securities Transactions
Reporting Regime (OTCR)

Relevant Regulated Intermediaries¹ are reminded that the OTCR will become effective on 25 September 2023. Those that have not yet completed the testing and preparation for reporting under the OTCR are urged to do so before the effective date.

Please refer to the Circular on [20 December 2022](#) for information on the OTCR submission channels on WINGS.

Securities and Futures Commission

¹ Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the OTCR pursuant to paragraph 5.7 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC.