

16 October 2023

**Circular to Licensed Corporations, SFC-licensed Virtual Asset Service
Providers and Associated Entities**

Anti-Money Laundering / Counter-Financing of Terrorism

Anti-Money Laundering and Counter-Financing of Terrorism Webinar

The Securities and Futures Commission (SFC) will host two sessions of anti-money laundering and counter-financing of terrorism (AML/CFT) webinar on 15 and 16 November 2023 respectively. The webinar will be conducted via Zoom.

Objectives and rundown

The objectives of the webinar are –

- To provide an update on major AML/CFT regulatory developments;
- To share supervisory observations related to AML/CFT;
- To present the latest trends in scams and how to identify scam victims;
- To provide an overview on the proliferation financing risk assessment and risk mitigation of Hong Kong; and
- To present money laundering typologies and feedback on the suspicious transaction reporting of the securities sector.

Please refer to the [Appendix](#) for the rundown and speakers of the webinar.

Who should attend

You are invited to nominate relevant management or supervisory personnel and compliance officers to attend. The webinar is free of charge and 3 Continuous Professional Training (CPT) hours will be offered to those who attend the whole session.

Enrolment

Each firm should only submit one enrolment form via the following link on or before 31 October 2023:

<https://sfc-aml-cft-webinar-2023.open-exchange.net/registration>

Enrolments are subject to a maximum of two representatives per firm. Places will first be allocated to the first nominee in each of the enrolment forms submitted to the SFC by the deadline on a first come first served basis. Any remaining places will be allocated to the second nominee on the same basis.



Successful applicants will be notified by email at least two days before the webinar.

If you have any queries, please contact us at aml_seminar@sfc.hk.

Intermediaries Supervision Department
Intermediaries Division
Securities and Futures Commission

Enclosure

SFO/IS/023/2023