

22 February 2024

Circular to Licensed Corporations Engaged in Asset and Wealth Management Activities

Asset and Wealth Management Activities Survey 2023

1. As an annual exercise to collect information on asset and wealth management activities in Hong Kong for regulatory and market facilitation purposes and to better understand the state of the asset and wealth management industry in Hong Kong, we have now commenced the Asset and Wealth Management Activities Survey 2023 (the “AWMAS”). We would appreciate your continued cooperation, as in previous years, by completing the questionnaire through the online submission system on or before **19 April 2024**.
2. **For those licensed corporations which had gross operating income derived from asset management, giving advice on funds / portfolios and / or private banking / private wealth management during the year of 2023, please complete the whole questionnaire.** If your corporation did not engage in any of the activities covered in the survey throughout the year, please fill in the part of General Information in the questionnaire. The questionnaire can be accessed at:

<https://wings.sfc.hk/awmas/#/en/>
3. Please read the important notes on the front page (after selection of the type of firm) and the definitions of certain terms and expressions set out in the “Note” section of the questionnaire. Please be assured that all information supplied will be treated in strict confidence and will not be disclosed to any third party except in an aggregated form of statistics.
4. If you have any queries on the AWMAS, please send an email to awmas2023@sfc.hk or contact the Investment Products Division at (852) 2231-1948 if your company’s name begins with the letter A – H, or (852) 2231-1364 if your company’s name begins with the letter I – Z.

**Investment Products Division
Securities and Futures Commission**