

4 September 2026

**Circular to Licensed Corporations, SFC-licensed Virtual Asset  
Service Providers and Associated Entities**

**Brokers' Forum**

The Securities and Futures Commission (SFC) will host a brokers' forum on 28 September 2026 at the SFC's office on 43/F, One Island East, 18 Westlands Road, Quarry Bay, Hong Kong.

**Objectives and rundown**

The forum aims to:

- share supervisory observations and regulatory updates, with a focus on cybersecurity and anti-scam measures related to the securities industry; and
- engage the securities industry in ongoing discussions.

Please refer to the [Appendix](#) for the rundown and speakers of the forum.

**Who should attend**

You are invited to nominate relevant management and compliance personnel to attend the forum. The forum will be conducted in Cantonese and is free of charge. 1.5 Continuous Professional Training (CPT) hours will be offered to those who attend the whole session.

**Enrolment**

Each firm should only submit one enrolment form via the following link on or before 10 September 2026:

<https://www.sfc.hk/en/Broker-Forum-2026-2>

Enrolment is limited to a maximum of two representatives per firm. Places will first be allocated to the first nominee in each enrolment form submitted by the deadline on a first-come, first-served basis. Any remaining places will be allocated to the second nominee on the same basis.

Successful applicants will be notified by email at least two days before the forum.

If you have any queries, please contact us at [brokerforum@sfc.hk](mailto:brokerforum@sfc.hk).

Intermediaries Division  
Securities and Futures Commission

Enclosure

End

SFO/IS/035/2026