

SECURITIES AND FUTURES COMMISSION

To: consult/SFC@SFC CEOO Ext :
cc:

From: e-workflow/IR/SFC@SFC
Date: 10/12/2009 11:39 AM

Subject: Consultation Paper Comment - Consultation Paper on Proposals to Enhance
Protection for the Investing Public (Ref: 20091210.1139.18994)

From : LEE

Other Name : YUK KWAN

Title : Mrs.

I would like to remain anonymous : N

Phone :

Fax :

Email :

Address1 :

Address2 :

Address3 :

Country :

Q1 :

Q2 :

Q3 :

Q4 :

Q5a :

Q5b :

Q5c :

Q5d :

Q6a :

Q6b :

Q6c :

Q7 :

Q8a :

Q8b :

Q9 :

Q10 :

Q11 :

Q12 :

Q13 :

Q14 :

Q15 :

Q16 :

Q17 :

Q18 :

Q19 :

Q20 :

Q21 :

Q22 : In fact, i don't think disclose the commission would be the best way. for the one who need the help from financial consultant usually do not have enough knowledge to classify which is the best product to them. if the monetary benefits disclose, the focus of choosing a financial counsultant may shift to the commission. they may think that the lowest is the best one for them.

Q23 :

Q24 :

Q25 :

Q26 : It's appropriate to offer gift coupon.

Q27 :

Q28 : I think it is not necessary to record, it use too much time!!!!!!
if really needed, i think keep it until the cooling-off period.

Q29 :

Q30 :

Q31 :

Q32 :

Attachment :