

SECURITIES AND FUTURES COMMISSION

To: consult/SFC@SFC CEOO Ext :
cc:

From: e-workflow/IR/SFC@SFC
Date: 07/12/2009 09:44 PM

Subject: Consultation Paper Comment - Consultation Paper on Proposals to Enhance
Protection for the Investing Public (Ref: 20091207.2144.47359)

From : Wong

Other Name : Kin Wing

Title : Mr.

I would like to remain anonymous : N

Phone :

Fax :

Email :

Address1 :

Address2 :

Address3 :

Country :

Q1 : No idea

Q2 : There is no use to disclose.

Q3 : No need. It's too complicated and the clients will find it trouble
and it will affect the transactions in HK and affect HK's financial status.

Q4 : Need. To protect client

Q5a : No comment.

Q5b : No need to mandate as it should be a more free soicety, for HK to be
a International Finance Centre.

Q5c : Need.

Q5d : No idea.

Q6a : Need. It could be a more perfect mechanism so as to promote
collateral.

Q6b : No, it's just enough

Q6c : No, it doesn't. Existing process is good enough

Q7 : No need. because it will cost many times and manpower, will damage
the economic

Q8a : No. it's enough now.

Q8b : 1 month. No other period.

Q9 : Need because could give more reference to client.

Q10 : No idea.

Q11 : No need. Its just enough

Q12 : Yes. It's needed, to maintain HK's image

Q13 : No

Q14 : No comment

Q15 : No

Q16 : Now is just enough, no need to adjust

Q17 : Disagree, it's too complicated and make customers confuse.

Q18 : No need, it would be too complicated

Q19 : No. because it will bring many questions to clients and make them not to invest and make HK's international finance centre image collapsed.

Q20 : No.

Q21 : No idea

Q22 : Option 1.3. Because no interest and no help to know.

Q23 : Not to set

Q24 : option 2.2. Don't want to know.

Q25 : Option 3.2. There is no need to know.

Q26 : Not to restrict. Client should have those promotion gift.

Q27 : No need to disclose. Disclosure will make the process too long and waste time and manpower.

Q28 : No need for audio recoding. Because it will make the process too long and waste time and manpower.

Q29 : It needs

Q30 : It needs. It could be more fair to client.

Q31 : 14 days. It is a appropriate time.

Q32 : Yes. It is fair to client.

Attachment :